

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> • Other (Specify) <input type="text"/>
* 3. Date Received: 07/26/2019	4. Applicant Identifier: 444407659	
5a. Federal Entity Identifier: <input type="text"/>	* 5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: Town of Sterling		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 156001154	* c. Organizational DUNS: 043586713	
d. Address:		
• Street 1: 1290 State Route 104A		
Street 2:		
* City: Sterling		
County/Parish: Cayuga		
* State: NY		
Province:		
* Country: USA: UNITED STATES		
• Zip / Postal Code: 13156		
e. Organizational Unit:		
Department Name: <input type="text"/>	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/>	* First Name: Ian	
Middle Name: <input type="text"/>		
• Last Name: Yerdon		
Suffix: <input type="text"/>		
Title: Customer Contact		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: (315) 380-4382	Fax Number: (315) 386-2632	
* Email: ian.yerdon@c2ae.com		

Application for Federal Assistance SF-424

9. Type of Applicant I - Select Applicant Type:

C. City or Township Government

Type of Applicant 2- Select Applicant Type:

0

Type of Applicant 3- Select Applicant Type:

0

* Other (specify):

*** 10. Name of Federal Agency:**

Water And Environmental

11. Catalog of Federal Domestic Assistance Number:

Drinking Water

CFDA Title:

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Water District #2

Add Attachments

Delete Attachments

View Attachments

*** 15. Descriptive Title of Applicant's Project:**

Drinking Water

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachments

Delete Attachments

View Attachments

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="\$5,331,000.00"/>
* b. Applicant	<input type="text" value="\$0.00"/>
* c. State	<input type="text" value="\$0.00"/>
* d. Local	<input type="text" value="\$0.00"/>
* e. Other	<input type="text" value="\$0.00"/>
* f. Program Income	<input type="text" value="\$0.00"/>
* g. TOTAL	<input type="text" value="5,331,000"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (if "Yes", provide explanation.)**

Yes No

If "Yes, provide explanation and attach.

Add Attachments

Delete Attachments

View Attachments

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

**** I AGREE**

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

Interview Questions

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN:
156001154

Question	Answer
Which Rural Utilities Service (RUS), Rural Development (RD) Program Area are you requesting funds from?	Water And Environmental
Please validate the Legal Name is correct for the application you are creating.	Town of Sterling
Is this your entity's first application with Rural Utilities Service (RUS), Rural Development (RD)?	No
Please validate the State and County are correct for the application you are creating.	Cayuga, New York
What type of loan/grant are you applying for?	Drinking Water
Do you have a current loan with Rural Utilities Service (RUS), Rural Development (RD)?	Yes
Will these funds be used for Construction?	Yes
Are you requesting funds due to Hurricane Harvey, Irma, and Maria?	No
Are you requesting funds under the Emergency and Imminent Community Water Assistance Grants (ECWAG) Program in conjunction with an emergency event such as, but not limited to, drought, earthquake, flood, tornado, hurricane, disease breakout, chemical spill, leakage, or seepage?	No
Will project enlarge, extend or otherwise modify existing Drinking Water facilities?	Yes
Will the project serve additional rural residents with Drinking Water facilities?	Yes
Is project necessary to alleviate a health or sanitary issue for Drinking Water?	Yes
Select the one that best describes your organization:	Public Body

Customer Screen

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

General Information

Legal Name:	Town of Sterling	Primary Borrower ID:	444407659
Organizational Description:	Municipality	DUNS #:	043586713
Type of Applicant:	Township	CAGE Code:	71KS4
Civil Rights:	NA	SAM-CCR Expiration Date:	03/04/2020
Entity Type:	Public Body		
Faith Based:	No		
Is the applicant delinquent on any Federal Debt?:	No		

Address

Address Type	Street 1	Street 2	City	State	Zipcode	County	Congressional District
Headquarters	1290 State Route 104A		Sterling	NY	13156	Cayuga	24
Mailing/ Correspondence	1290 State Route 104A		Sterling	NY	13156	Cayuga	24

Key Contacts

Type	Pre	First Name	Last Name	Org.	Phone #	Cell #	Fax #	Email Address
Customer Contact		Ian	Yerdon	C2AE	315-380-4382		315-386-2632	ian.yerdon@c2ae.com

Key Contact Detail

Title	Project Manager
Years in Current Position	
Previous Position	
Street1	301 Plainfield Road
Street2	Suite 270
City	Syracuse
State	NY
Zip	13212

Facilities - Facilities Details for Drinking Water

Facility

Select the Project Type you want to work on now: **Drinking Water**

Facility Details for Drinking Water

Describe the Current Facility before Improvement

The proposed water district consists of residential properties who currently rely on private residential wells for drinking water.

Describe the Current Facility after Improvement

The project will include the installation of a new municipal drinking water system including distribution mains, hydrants, valves, services, a new water tower, a new well supply, and other necessary appurtances.

Facilities - Facility Sites for Drinking Water

Application Id: 4002985 | Borrower Name: Town of Sterling

Facility Site Details for Drinking Water

Facility Type	Description	Street 1	Street 2	City	State	Zip Code	County	Congressional District
Primary Facility	Town Hall	1290 State Route 104A		Sterling	NY	13156	Cayuga	24th

Land Rights-Detail for Drinking Water

Land Rights for Drinking Water

Number of Acres To be Acquired - Fee Simple

Number of Acres To be Acquired - Lease

Acres To be Acquired - Purchase Price

Acres To be Acquired - Value

Number of Acres Now Owned - Fee Simple

Number of Acres now Owned - Lease

Acres now Owned - Purchase Price

Acres now Owned - Value

Describe Other Rights (such as water rights or rights-of-way)*

Project will be installed within the existing maintained road rights of way

Volume/Flow - Connections Information for Drinking Water

Connections Information for Drinking Water

Do you sell water to residential, industrial, or business users?	Yes
Total gallons sold to residential and other customers (do not include wholesale) for the most current 12 month period	5,832,700
Of the Total Annual Gallons Sold, how many Gallons were Sold to Single Family Dwellings?	5,832,700
What are the Total Number of existing Connections on the System?	94
Of the total Number of existing Connections, how many are Single Family Dwellings?	94
What is the total annual revenue from residential and other customers (do not include wholesale) in the same most current 12 month period?	\$23,688
Will you be adding new connections with this project?	No

Volume/Flow - Wholesale for Drinking Water

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

Wholesale-Detail

Do you sell water to entities who in turn resell water to their customers? No

Project Schedule

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

Project Schedule

Proposed Starting Date	03-01-2021	Proposed End Date	12-01-2021
Date Application made available to the Inter-Governmental Review Process (if applicable):	-	State Clearinghouse ID (if applicable):	

Project Schedule Milestones

Project Type	Scheduled Milestone	Description	Date
Drinking Water	Proposed Start of Construction		03-01-2021
Drinking Water	Proposed End of Construction		12-01-2021

Electronic PER Import History

Report Number

Date Imported

Project Type

Project Cost

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN:
156001154

Project Costs

Project Type	Cost Classification	Description	Cost
Drinking Water	Engineering-PreDevelopment	PER and ER	\$22,000.00
Drinking Water	Engineering-Design	Plans and Specs	\$363,000.00
Drinking Water	Engineering-Construction Administration	Bidding and construction engineering	\$80,000.00
Drinking Water	Engineering-Inspections	RPR services	\$120,000.00
Drinking Water	Engineering-Additional Services	Additional engineering services and expenses	\$50,000.00
Drinking Water	Other	Admin and bookkeeping	\$2,000.00
Drinking Water	Interest	Net Interest	\$60,400.00
Drinking Water	Engineering-Design	Survey and Borings	\$70,000.00
Drinking Water	Bond Counsel	Bonding	\$10,000.00
Drinking Water	Legal Services	Legal	\$20,000.00
Drinking Water	Other	Single Audit	\$8,000.00
Drinking Water	Development	Construction	\$4,114,100.00
Drinking Water	Contingencies	10%	\$411,500.00
Total of all displayed costs:			\$5,331,000.00

Project Cost Summaries

Project Type	Total Cost
Drinking Water	\$5,331,000.00
Total of all Project Types:	\$5,331,000.00

Cost Classification	Total Cost
Bond Counsel	\$10,000.00
Contingencies	\$411,500.00
Development	\$4,114,100.00
Engineering-Additional Services	\$50,000.00
Engineering-Construction Administration	\$80,000.00
Engineering-Design	\$433,000.00
Engineering-Inspections	\$120,000.00
Engineering-PreDevelopment	\$22,000.00
Interest	\$60,400.00
Legal Services	\$20,000.00
Other	\$10,000.00
Total of all cost classification:	\$5,331,000.00

General Funds Balance Sheet

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN:
156001154

General Funds Balance Sheet

Does your Entity operate with a General Fund?: Yes
Date of Financial Information: 07-18-2019

Assets

Current Assets

Do you have current assets? No

Restricted Cash

Do you have Restricted Cash? No

Other Current Assets

Asset Type	Amount
Accounts Receivable	Not Applicable
Less: Allowance for doubtful accounts	Not Applicable
Inventories	Not Applicable
Prepayments	Not Applicable
Total Current Assets	\$0

Fixed Assets

Do you have Fixed Assets? No

Total Assets \$0

Liabilities and Equities

Current Liabilities

Do you have Current Liabilities? No

Long Term Liabilities

Liability Type	Amount
Long Term Debt (net of current portion)	Not Applicable
Other	Not Applicable
Total Long Term Liabilities	\$0
Total Liabilities	\$0
Net Worth	\$0
Total Liabilities and Equity	\$0

Comments

Enterprise Balance Sheet for Drinking Water

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN:
156001154

Enterprise Balance Sheet

Date of Financial Information: 07-18-2019

Assets

Current Assets

Do you have current assets? No

Restricted Cash

Do you have Restricted Cash? No

Other Current Assets

Asset Type	Amount
Accounts Receivable	Not Applicable
Less: Allowance for doubtful accounts	Not Applicable
Inventories	Not Applicable
Prepayments	Not Applicable
Total Current Assets	\$0

Fixed Assets

Do you have Fixed Assets? No

Total Assets \$0

Liabilities and Equities

Current Liabilities

Do you have Current Liabilities? No

Long Term Liabilities

Liability Type	Amount
Long Term Debt (net of current portion)	Not Applicable
Other	Not Applicable
Total Long Term Liabilities	\$0
Total Liabilities	\$0
Net Worth	\$0
Total Liabilities and Equity	\$0

Comments

Financials - Other Income

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

Other Income

Project Type	Type of Income	User Assessed	Description	Yearly Income
Drinking Water	Not Applicable			
Total				\$.00

Income Summaries

Project Type	Total Income
Drinking Water	\$.00
Total of all Project Types:	\$.00

Income Type	Total Income
Total of all Income Types:	\$.00

Financials - Reserves & Short-Lived Assets

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

Short Lived Asset

Does the applicant have any Short Lived Assets to report? Yes

Short-Lived Assets-Details

Project Type	Description	Replacement Cost	Useful Life	Annual Reserve
Drinking Water	Pumps (including motors)	\$50,000.00	11-15 Years	\$3,333.33
Drinking Water	Pump controls	\$15,000.00	11-15 Years	\$1,000.00
Drinking Water	telemetry	\$30,000.00	11-15 Years	\$2,000.00
Drinking Water	Water level sensors	\$5,000.00	11-15 Years	\$333.33
	Total	\$100,000.00		\$6,666.67
Comments	The Town will be collecting a fee of \$50/EDU/yr to be put into an annual reserve account (interest bearing) for short lived assets			

Financials - Proposed Funding

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

Proposed Funding

Project Type	Funding Source	Funding Source Description	Funding Amount
Drinking Water	Rural Development		\$5,331,000.00
Total Of Displayed Funding Sources:			\$5,331,000.00

Funding Summaries

Project Type	Funding Amount
Drinking Water	\$5,331,000.00
Total of all Project Types:	\$5,331,000.00

Funding Source	Total Cost
Rural Development	\$5,331,000.00
Total of all funding sources:	\$5,331,000.00

Financials - Debt

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

Debt

Does the applicant have any Existing Debt with Terms or Other Funding sources with Terms to report?

No

Financials - Proposed O&M

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

What do you anticipate your annual Operation and Maintenance Expenses to be for your facility in the first year of operation once the proposed project has been completed?

Using the information from the Preliminary Engineering Report, enter the data below:

Proposed O&M

Project Type	Expense Item	Description	Annual Amount
Drinking Water	Contract Water Purchase	Based on estimated water usage and O&M rates	\$23,688.00
Total Of Displayed Expenses:		\$23,688.00	

Expense Summaries

Project Type	Total Expenses
Drinking Water	\$23,688.00
Total of all project types:	\$23,688.00

Expense Type	Total Expenses
Contract Water Purchase	\$23,688.00
Total of all O&M Expenses:	\$23,688.00

Service Area: Main Screen

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN: 156001154

Service Area

Service Area Name	Project Type	Population	Square Miles	% of Rural vs. Urban
Water District #2	Drinking Water	416	3.14	100%
Water District #2 Alternate		0	0.0	0%
Water District #2 Proposed		0	0.0	0%

Service Area - Edit/View Data

Service Area

* Program Type

Water And Environmental

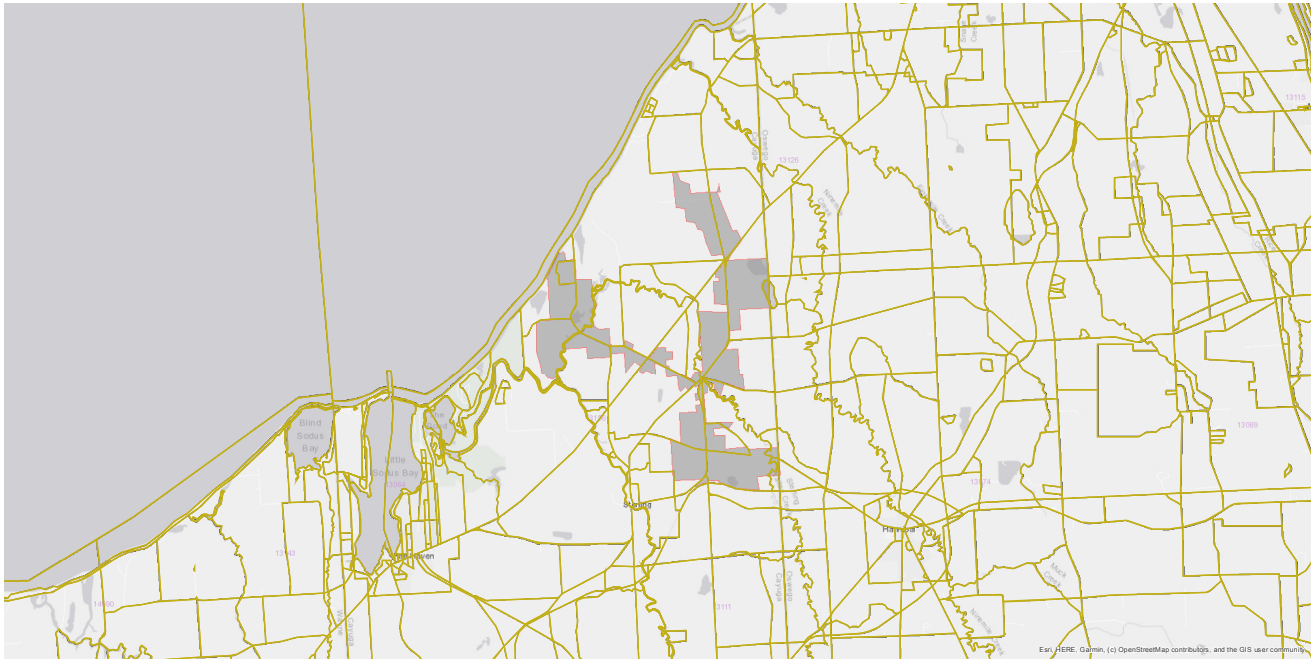
* Area Name

Water District #2

Water District #2	Existing	Alternate	Proposed
Total Population	416	0	0
Total Square Miles	3.14	0.0	0.0
Percent of Rural vs. Urban	100%	0%	0%

Explanation for Changes in Detail

Water District #2



Attachments

Application Id: 4002985 | Borrower Name: Town of Sterling | TIN:
156001154

Required Attachments

Below is a list of required attachments for this application. Please provide attachments for any rows marked with an "X."

Upload Status	Category	Document Type	Description	File Name	Date	Uploaded By
✓	Application	Notice of Intent to File Application		Affidavit of Publication.pdf	2019-07-19	I. Yerdon
✓	Engineering	Documentation of Health and Sanitary Issue - Drinking Water		WD#2 CEO ltr 001.pdf	2019-07-19	I. Yerdon
✓	Engineering	Preliminary Engineering Report		190001_PreliminaryEngReport.pdf	2019-07-19	I. Yerdon
✓	Environmental	Environmental Report		190001_Environmental Report.pdf	2019-07-19	I. Yerdon
✓	Financial	Audits (most recent copy) or Financial Statement (annual report). Per SI 1780-2, three years of financial statements and/or audits are required.		AUD 2016.pdf	2019-07-24	I. Yerdon
✓	Financial			AUD 2018.pdf	2019-07-24	I. Yerdon
✓	Financial			AUD 2017.pdf	2019-07-24	I. Yerdon

Additional Attachments

Please add any attachments that may be applicable to this application.

Upload Status	Category	Document Type	Description	File Name	Date	Uploaded By
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APPLICANT CERTIFICATION FEDERAL COLLECTION POLICIES FOR CONSUMER OR COMMERCIAL DEBTS

The Federal Government is authorized to check credit information about the applicant(s) including using the federal Credit Alert Interactive Voice Response System (CAIVRS) or its successors to check to see if the applicant(s) are delinquent or in default on a Federal debt.

The Federal Government is also authorized by law to take any or all of the following actions in the event your loan payments become delinquent or you default on your loan:

- Report your name and account information to a credit reporting agency, and the Credit Alert Interactive Voice Response System (CAIVRS).
- Assess interest and penalty charges for the period of time that payment is not made.
- Assess charges to cover additional administrative costs incurred by the government to service your account.
- Offset amounts to be paid to you from your Federal income tax refund.
- Offset amounts to be paid to you under other Federal Programs.
- Refer your account to a private collection agency to collect the amount due.
- Foreclose on any security you have given for the loan.
- Pursue legal action to collect through the courts.
- Report any written off debt to the Internal Revenue Service as taxable income.
- If you are a current or retired Federal employee, take action to offset your salary, or civil service retirement benefits.
- Debar or suspend you from doing business with the Federal Government either as a participant or principal throughout the executive branch of the Federal Government for the period of debarment or suspension.
- Refer any debt that is delinquent to the Treasury Offset Program (TOP) in accordance with the Debt Collection Improvement Act of 1996.
- Refer any eligible debt that is delinquent to the Treasury for cross servicing in accordance with the Debt Collection Improvement Act of 1996.
- Garnish your wages as allowed by the Debt Collection Improvement Act of 1996.

Any or all of these actions may be used to recover any debts owed when it is determined to be in the interest of the Government to do so.

CERTIFICATION: I/we have read and I/we understand the actions the Federal Government may take in the event that I/we fail to meet my/our scheduled payments in accordance with the terms and conditions of my/our agreement. I/we understand that the above list is not all inclusive and that the Federal Government may deem additional actions necessary to collect should I/we become delinquent.

June Smith has electronically signed on 07/26/2019 that everything in between is correct to the best of his/her knowledge.

ASSURANCE AGREEMENT
(Under Title VI, Civil Rights Act of 1964)

The **Town of Sterling**

1290 State Route 104A, Sterling, NY, 13156

Name of Recipient:

Address:

("Recipient" herein) hereby assures the U. S. Department of Agriculture that Recipient is in compliance with and will continue to comply with Title VI of the Civil Rights Act of 1964 (42 USC 2000d et. seq.), 7 CFR Part 15, and Rural Housing Service, Rural Business- Cooperative Service, Rural Utilities Service, Risk Management Agency, or the Farm Service Agency, (hereafter known as the "Agency") regulations promulgated thereunder, 7 C.F.R. § 1901.202. In accordance with that Act and the regulations referred to above, Recipient agrees that in connection with any program or activity for which Recipient receives Federal financial assistance (as such term is defined in 7 C.F.R. § 15.2) no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination.

1. Recipient agrees that any transfer of any aided facility, other than personal property, by sale, lease or other conveyance of contract, shall be, and shall be made expressly, subject to the obligations of this agreement and transferee's assumption thereof.
2. Recipient shall:
 - a. Keep such records and submit to the Government such timely, complete, and accurate information as the Government may determine to be necessary to ascertain our/my compliance with this agreement and the regulations.
 - b. Permit access by authorized employees of the Agency or the U.S. Department of Agriculture during normal business hours to such books, records, accounts and other sources of information and its facilities as may be pertinent to ascertaining such compliance.
 - c. Make available to users, participants, beneficiaries and other interested persons such information regarding the provisions of this agreement and the regulations, and in such manner as the Agency or the U. S. Department of Agriculture finds necessary to inform such persons of the protection assured them against discrimination.
3. The obligations of this agreement shall continue:
 - a. As to any real property, including any structure, acquired or improved with the aid of the Federal financial assistance, so long as such real property is used for the purpose for which the Federal financial assistance is made or for another purpose which affords similar services or benefits, or for as long as the Recipient retains ownership or possession of the property, whichever is longer.
 - b. As to any personal property acquired or improved with the aid of the Federal financial assistance, so long as Recipient retains ownership or possession of the property.
 - c. As to any other aided facility or activity, until the last advance of funds under the loan or grant has been made.
4. Upon any breach or violation this agreement the Government may, at its option:
 - a. Terminate or refuse to render or continue financial assistance for the aid of the property, facility, project, service or activity.
 - b. Enforce this agreement by suit for specific performance or by any other available remedy under the laws of the United States or the State in which the breach or violation occurs.

Rights and remedies provided for under this agreement shall be cumulative.

June Smith has electronically signed on 07/26/2019 that everything in between is correct to the best of his/her knowledge.

This certification has been signed and attested using the eAuthentication system.

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, 8. Will comply with the Intergovernmental Personnel Act and the institutional, managerial and financial capability of 1970 (42 U.S.C. §§4728-4763) relating to prescribed (including funds sufficient to pay the non-Federal share standards for merit systems for programs of project costs) to ensure proper planning, funded under one of the 19 statutes or regulations specified management and completion of the project described in in Appendix A of OPM's Standards for a Merit System of this application. Personnel Administration (5 C.F.R. 900, Subpart F).
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42

U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application. Previous Edition Usable Authorized for Local Reproduction Standard Form 424D (Rev. 7-97) Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis- Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-1 33, 'Audits of States, Local Governments, and Non-Profit Organizations.'
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

June Smith has electronically signed on 07/26/2019 that everything in between is correct to the best of his/her knowledge.

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency .
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§16811683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U. S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds. Previous Edition Usable Standard Form 424B (Rev. 7-97) Authorized for Local Reproduction

9. Will comply, as applicable, with the provisions of the Davis- Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a -1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-1 33, 'Audits of States, Local Governments, and Non-Profit Organizations.'
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

June Smith has electronically signed on 07/26/2019 that everything in between is correct to the best of his/her knowledge.

WATER AND WASTE ELIGIBILITY CERTIFICATION

Certification for commercial credit and outstanding judgments

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. The organization is unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms.
2. No outstanding judgement has been obtained and recorded by the United States of America in a Federal Court(other than in the United States Tax Court).

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Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 7 CFR Part 3017, Section 3017.510, Participants' responsibilities. The regulations were published as Part IV of the January 30, 1989 Federal Register (pages 4722-4733). Copies of the regulations may be obtained by contacting the Department of Agriculture agency offering the proposed covered transaction.

1. The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
 - a. are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - b. have not within a three-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
 - d. have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
2. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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U.S. DEPARTMENT OF AGRICULTURE

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS (GRANTS) ALTERNATIVE I - FOR GRANTEES OTHER THAN INDIVIDUALS

This certification is required by the regulations implementing Section 5151-5160 of the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D: 41 U.S.C. 701 et seq.), 7 CFR Part 3017. Subpart F, Section 3017.600, Purpose. The January 13, 1989, regulations were amended and published as Part II of the May 25, 1990 Federal Register (pages 21681-21691). Copies of the regulations may be obtained by contacting the Department of Agriculture agency offering the grant.

Alternative I

- A. The grantee certifies that it will or will continue to provide a drug-free workplace by:
- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - b. Establishing an ongoing drug-free awareness program to inform employees about -
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
 - c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
 - d. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
 - e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position AD-1049 (REV 5/90) title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
 - f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended;
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or, local health, law enforcement, or other appropriate agency;
 - g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).
- B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, State, zip code)

Town of Sterling

1290 State Route 104A

Sterling NY 13156

If there are workplaces on file that are not identified here.

June Smith has electronically signed on 07/26/2019 that everything in between is correct to the best of his/her knowledge.

Tying Arrangements Certification:

Certification regarding prohibited tying arrangements: **Town of Sterling**

Does Not

provide electric service. **Town of Sterling** does not require users of the **Water District #2** facility financed under this part to accept electric service as a condition of receiving assistance.

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CERTIFICATION FOR CONTRACTS, GRANTS AND LOANS

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant or loan.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant or loan, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including contracts, subcontracts, and subgrants under grants and loans) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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EQUAL OPPORTUNITY AGREEMENT

This agreement, dated 07/26/2019 between Town of Sterling (herein called "Recipient" whether one or more) and United States Department of Agriculture (USDA), pursuant to the rules and regulations of the Secretary of Labor (herein called the 'Secretary') issued under the authority of Executive Order 11246 as amended, witnesseth:

In consideration of financial assistance (whether by a loan, grant, loan guaranty, or other form of financial assistance) made or to be made by the USDA to Recipient, Recipient hereby agrees, if the cash cost of construction work performed by Recipient or a construction contract financed with such financial assistance exceeds \$10,000 - unless exempted by rules, regulations or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order 11246 of September 24, 1965.

1. To incorporate or cause to be incorporated into any contract for construction work, or modification thereof, subject to the relevant rules, regulations, and orders of the Secretary or of any prior authority that remain in effect, which is paid for in whole or in part with the aid of such financial assistance, the following "Equal Opportunity Clause": During the performance of this contract, the contractor agrees as follows:
 - a. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex or national origin. Such action shall include, but not be limited, to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the USDA setting forth the provisions of this nondiscrimination clause.
 - b. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.
 - c. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the USDA, advising the said labor union or workers' representative of the contractor's commitments under this agreement and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
 - d. The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of all rules, regulations and relevant orders of the Secretary of Labor.
 - e. The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, rules, regulations, and orders, or pursuant thereto, and will permit access to his books, records, and accounts by the USDA Civil Rights Office, and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
 - f. In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be cancelled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order No. 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order No. 11246 of September 24, 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by Law.
 - g. The contractor will include the provisions of paragraph 1 and paragraph (a) through (g) in every subcontract or purchase order, unless exempted by the rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order No. 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the USDA may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the USDA, the contractor may request the United States to enter into such litigation to protect the interest of the United States. According to the Paperwork Reduction Act of

1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collections is 0575-0018. The time required to complete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

2. To be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, that if the organization so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.
3. To notify all prospective contractors to file the required 'Compliance Statement', Form RD 400-6, with their bids.
4. Form AD-425, Instructions to Contractors, will accompany the notice of award of the contract. Bid conditions for all nonexempt federal and federally assisted construction contracts require inclusion of the appropriate "Hometown" or "Imposed" plan affirmative action and equal employment opportunity requirements. All bidders must comply with the bid conditions contained in the invitation to be considered responsible bidders and hence eligible for the award.
5. To assist and cooperate actively with USDA and the Secretary in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and rules, regulations, and relevant orders of the Secretary, that will furnish USDA and the Secretary such information such as , but not limited to, Form AD-560, Certification of Nonsegregated Facilities, to submit the Monthly Employment Utilization Report, Form CC-257, as they may require for the supervision of such compliance, and that it will otherwise assist USDA in the discharge of USDA's primary responsibility for securing compliance.
6. To refrain from entering into any contract or contract modification subject to such Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and Federally assisted construction contracts pursuant to the Executive Order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by USDA or the Secretary of Labor pursuant to Part II, Subpart D, of the Executive Order.
7. That if the recipient fails or refuses to comply with these undertakings, the USDA may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the organization under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such organization; and refer the case to the Department of Justice for appropriate legal proceedings.

Signed by the Recipient on the date first written above.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collections is 0575-0018. The time required to complete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

June Smith has electronically signed on 07/26/2019 that everything in between is correct to the best of his/her knowledge.

This certification has been signed and attested using the eAuthentication system.

CERTIFICATION REGARDING THE IDENTIFICATION AND REPORTING RURAL DEVELOPMENT ASSISTANCE TO RURAL DEVELOPMENT EMPLOYEES, RELATIVES, ASSOCIATES AND TRANSACTION CONFLICT OF INTEREST

This certification is required by 2 CFR 400, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, and 7 CFR 1900, Subpart D, Processing and Servicing Rural Development Assistance to employees, Relatives, and Associates, and all guidance related thereto.

Rural Development (RD) prohibits any elected or appointed official, agent, or employee of a recipient from having any financial or personal interest in any transaction or activity funded. Recipients are required to identify, in writing, any known relationship or association with an RD employee in accordance with 7 CFR 1900, Subpart D. To ensure the highest standards of honesty, integrity, and impartiality by RD employees, the applicant must identify any RD assistance benefiting an RD employee in any of the following circumstances:

1. RD employee is the recipient.
2. RD employee's family member(s) or known close relative(s) are the recipient.
3. RD employee has an immediate working relationship with the recipient, the employee related to the recipient, or the employee who would normally conduct the activity.
4. RD employee has a business or close personal association with the recipient.

Following 2 CFR 400, all participating non-Federal entities must maintain written standards of conduct covering conflicts of interest. The standards of conduct must include disciplinary actions in the event of a violation by officers, employees, or agents of the non-Federal entity. Any non-Federal entity that has a parent, affiliate, or subsidiary organization that is not a state or local government or Indian Tribe is subject to the standards identified herein. Policies and any accompanying documents shall be furnished to RD upon request.

I hereby certify in this disclosure letter, in accordance with 2 CFR 400 and 7 CFR 1900, Subpart D, that any known relationships or close associates of an RD employee, real or potential conflicts, or conflicts in transactions, if any, are listed below or will be disclosed immediately upon discovery:

June Smith has electronically signed on 07/26/2019 that everything in between is correct to the best of his/her knowledge.